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Evidence That Bivalve Burrowing Is Mediated by Serotonin Receptors: Activation of Foot Inflation and Protrusion by Serotonin, Serotonergic Ligands and SSRI-Type Antidepressants in Three Species of Freshwater Bivalve

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Evidence That Bivalve Burrowing Is Mediated by Serotonin Receptors: Activation of Foot Inflation and Protrusion by Serotonin, Serotonergic Ligands and SSRI-Type Antidepressants in Three Species of Freshwater Bivalve

Abstract

Foot inflation and protrusion in bivalve mollusks is part of a sequence of events necessary for burrowing into the substrate. Although this process has been observed after exogenous exposure to the neurotransmitter serotonin [5-hydroxytryptamine (5-HT)] and by some selective serotonin reuptake inhibitor (SSRI) type antidepressants, no study has shown that foot inflation and protrusion as a precursor to burrowing is under serotonergic control. In order to elucidate the physiological mechanism mediating this response, we tested over 2,000 freshwater bivalves in three species by exposing them to 5-HT, two 5-HT receptor agonists, two receptor antagonists and five antidepressants. Fingernail clams (*Sphaerium striatinum*), Asian clams (*Corbicula fluminea*) and unionid mussels (*Elliptio complanata*) all showed significant foot inflation or foot protrusion when exposed to 5-HT and the serotonergic agonists 8-OH-DPAT and alpha-methyl 5-HT. Some SSRI-type antidepressants (fluoxetine and fluvoxamine) also significantly induced these responses in all three species but were not as potent at the concentrations tested. In *S. striatinum* and *C. fluminea*, a 2-h exposure to the 5-HT₂ receptor antagonists mianserin and cyproheptadine effectively blocked foot inflation induced by 5-HT and 8-OH-DPAT. The 5-HT receptor mediating this response is thus sensitive to mammalian 5-HT₁ and 5-HT₂ receptor ligands, supporting the hypothesis that serotonin mediates the initial step in burrowing.

Keywords

serotonin, bivalve, burrowing, antidepressant

Disciplines

Animal Sciences | Aquaculture and Fisheries | Biology

1 Evidence that bivalve burrowing is mediated by serotonin receptors: activation of foot inflation
2 and protrusion by serotonin, serotonergic ligands and SSRI-type antidepressants in three species
3 of freshwater bivalve.

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11 RUNNING HEAD: SEROTONIN REGULATION IN THE BIVALVE FOOT

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26 ABSTRACT

27 Foot inflation and protrusion in bivalve molluscs is part of a sequence of events necessary for
28 burrowing into the substrate. Although this process been observed after exogenous exposure to
29 the neurotransmitter serotonin (5-HT) and by some SSRI-type antidepressants, no study has
30 shown that foot inflation and protrusion as a precursor to burrowing is under serotonergic
31 control. In order to elucidate the physiological mechanism mediating this response, we tested
32 over 2,000 freshwater bivalves in three species by exposing them to 5-HT, two 5-HT receptor
33 agonists, two receptor antagonists and five antidepressants. Fingernail clams (*Sphaerium*
34 *striatinum*), Asian clams (*Corbicula fluminea*) and unionid mussels (*Elliptio complanata*) all
35 showed significant foot inflation or foot protrusion when exposed to 5-HT and the serotonergic
36 agonists 8-OH-DPAT and alpha-methyl 5-HT. Some SSRI-type antidepressants (fluoxetine and
37 fluvoxamine) also significantly induced these responses in all three species but were not as potent
38 at the concentrations tested. In *S. striatinum* and *C. fluminea*, a 2-h exposure to the 5-HT₂ receptor
39 antagonists mianserin and cyproheptadine effectively blocked foot inflation induced by 5-HT and
40 8-OH-DPAT. The 5-HT receptor mediating this response is thus sensitive to mammalian 5-HT₁ and
41 5-HT₂ receptor ligands, supporting the hypothesis that serotonin mediates the initial step in
42 burrowing.

INTRODUCTION

In bivalve molluscs, the neurotransmitter serotonin (5-hydroxytryptamine; 5-HT) has been identified and localized innervating the gills (Stefano & Aiello, 1975), siphon-mantle muscles (Ram *et al.*, 1999), retractor muscles and foot (Vitellaro-Zuccarello *et al.*, 1990), and gonads (Masseau *et al.*, 2002; Garnerot *et al.*, 2006;). 5-HT mediates actions within these structures such as gill ciliary activity (Carroll & Catapane, 2007), muscle contraction (Ram *et al.*, 1999), and oocyte maturation and spawning (Fong *et al.*, 1994), in both marine and freshwater bivalves. 5-HT receptors mediating these actions have been identified in several bivalve groups including scallops (Tanabe *et al.*, 2010), oysters (Jia *et al.*, 2018) and freshwater mussels (Fong *et al.*, 1993); see also reviews by Alavi *et al.* (2017) and Tierney (2018).

Foot protrusion and subsequent inflation within the substrate is a necessary component of bivalve burrowing. In a recent paper, Canesi *et al.* (2022) reviewed the physiological systems in bivalves regulated by 5-HT including reproduction, heart function, gill ciliary beating and catch muscles, but no study has shown that foot inflation or protrusion as a precursor to burrowing is under serotonergic control. We have observed these effects on the foot by 5-HT, 5-HT receptor ligands and antidepressants such as fluoxetine (“Prozac”) while measuring spawning and oocyte maturation in freshwater bivalves (Fong *et al.*, 1993, 1996; Fong, 1998). Exogenous application of these chemicals causes foot inflation, resembling a balloon, often as a prelude to spawning or parturition. In some freshwater bivalves (e.g. *Elliptio complanata*), exposure to these chemicals does not cause foot inflation but causes foot protrusion for several minutes, often leading to the release of conglutinates in females.

78 In this work, we tested the efficacy of 5-HT, two mammalian 5-HT receptor agonists (8-OH-
79 DPAT and Alpha-methyl 5-HT) and five antidepressants which modulate serotonergic action, and
80 which have been previously shown to affect molluscan behaviour (Fong *et al.* 1993, 2003) on foot
81 inflation and protrusion in three species of freshwater bivalve, Fingernail clams *Sphaerium*
82 *striatinum* (Fingernail clams), *Corbicula fluminea* (Asian clams) and the unionid mussel *Elliptio*
83 *complanata*. To show that the response was receptor mediated, we tested the effect of two 5-HT
84 receptor antagonists (mianserin and cyproheptadine) on blocking foot inflation. The possible
85 effect of antidepressants was tested because of their occurrence in aquatic environments
86 (Sehonova *et al.*, 2018), and thus having an ecotoxicological effect.

87 MATERIAL AND METHODS

88 *Animal collection and maintenance*

89 *Sphaerium striatinum*, *Corbicula fluminea* and *Elliptio complanata* were collected by hand from
90 Marsh Creek, Adams Co., PA, USA, (39.83°N, 77.23°W) in May and June 2021. In the lab, animals
91 were maintained in c. 19 aquaria containing c. 9.5 l of a 50:50 mixture of creek water and
92 dechlorinated tap water for 1 day. Thereafter, they were kept in dechlorinated tap water until
93 testing which occurred within 2–3 days of collection. Bivalves were not fed prior to testing.

94 Serotonin (Sigma-Aldrich), alpha-methyl serotonin (Santa Cruz Biotechnology), 8-OH-DPAT
95 (Sigma-Aldrich), sertraline (AK Scientific), venlafaxine (AK Scientific), citalopram (R & D Systems),
96 mianserin (AK Scientific) and cyproheptadine (AK Scientific) were solubilized in dechlorinated tap
97 water. Fluvoxamine (R & D Systems) was solubilized in 100% ETOH. Tested concentrations of 5-
98 HT, 8-OH-DPAT and alpha- methyl-5-HT, the blockers mianserin and cyproheptadine, and the
99 antidepressants were selected based upon their efficacy at inducing and blocking foot-related

100 locomotory behaviours in freshwater mussels Fong *et al.*, 1993; Fong, 1998) and fingernail clams
101 (Fong *et al.*, 1996, 2003).

102

103 *Experimental procedure*

104 All experiments were conducted at room temperature (22 °C). *Sphaerium striatinum* (1 cm shell
105 length) and *C. fluminea* (1.5–2.0 cm shell length) were tested in 20 ml scintillation vials (one clam
106 per vial). Each clam initially received 9.0 ml of dechlorinated tap water and 1.0 ml of drug at a 10×
107 higher concentration than the final concentration. In the case of 8-OH-DPAT and Alpha-methyl 5-
108 HT, 4.5 ml of dechlorinated tap water and 0.5 ml of drug was added. Individuals of *E. complanata*
109 (2.0-7.0 cm, shell length) were tested in scintillation vials (shell length of 2–3 cm), 100 ml beakers
110 (shell length 4–5 cm) or 5 cm finger bowls (shell length of 6–7 cm), with final volumes ranging
111 from 10–100 ml depending upon shell length (one mussel per container). Each mussel received
112 dechlorinated tap water for 10 min, thereafter, the appropriate volume of drug at a 10× higher
113 concentration than the final concentration was added. All three bivalve species were observed
114 over a 4-h period for foot inflation (in clams) or foot protrusion (in mussels), and none was tested
115 more than once. Foot inflation and protrusion (FIP) was defined as an increase in fluid pressure in
116 the foot causing a balloon-like appearance and extension from the shell for at least 30 min. During
117 this time, the foot remained outside of the shell, extended a greater distance from the shell
118 compared with control clams, was not tucked under the shell in a burrowing position and was
119 never withdrawn back into the shell for the duration of the 4-h experiment. Control mussels
120 sometimes extended their feet, but only a short distance from the shell, tucked their feet under the
121 shell in an attempt to burrow and withdrew their feet back into the shell.

122 To show that the foot inflation response was mediated by a serotonin receptor, we pretreated
123 individuals of *S. striatinum* and *C. fluminea* with the 5-HT₂ receptor antagonists mianserin and
124 cyproheptadine, then applied either 5-HT or 8-OH DPAT. Mianserin and cyproheptadine have
125 been shown to block 5-HT-stimulated spawning in zebra mussels (Fong *et al.*, 1993) and
126 parturition in fingernail clams (Fong *et al.*, 1996). For these blocker experiments, we acclimated
127 bivalves in 8.0 ml of dechlorinated tap water for 10 min. Thereafter we added 1.0 ml of either
128 mianserin (1.0 mM) or cyproheptadine (1.0 mM) for 2 h, then added 1.0 ml of 5-HT (1.0 mM) or 8-
129 OH-DPAT (0.1 mM or 1.0 mM) and observed foot inflation over a 4-h period.

130 Differences in the frequency of FIP between groups was tested by Fisher's exact test.
131 Differences in mean percent foot inflation were tested by unpaired *t*-tests. Null hypotheses were
132 rejected where $P < 0.05$.

134 RESULTS

136 *Activation of foot inflation and foot protrusion*

137 Exposure to 5-HT and the serotonergic ligands 8-OH-DPAT and alpha-methyl 5-HT significantly
138 induced foot inflation in *Sphaerium striatinum* and *Corbicula fluminea*, and foot protrusion in
139 *Elliptio complanata*. 5-HT induced foot inflation in *S. striatinum* from 50 μ M -1 mM, in *C. fluminea*
140 in from 100 μ M and 1 mM, and foot protrusion in *E. complanata* in from 100 μ M and 1 mM
141 (Fisher's exact test: $P < 0.05$; Fig. 1A). The 5-HT_{1A} receptor agonist 8-OH-DPAT induced foot
142 inflation from 1- μ M-to 1 mM (Fisher's exact test: $P < 0.05$), but caused toxicity indicated by shell
143 gaping at the highest concentration in *S. striatinum* (Fig. 1B). The 5-HT₂ receptor agonist, alpha-

144 methyl 5-HT induced the responses at 1 mM in all three species (Fisher's exact test: $P < 0.05$; Fig.
145 1C).

146 By contrast, while some antidepressants induced these responses in all three species, in
147 general, they did not induce as high a percentage of FIP at the concentrations tested compared
148 with 5-HT, 8-OH-DPAT or alpha-methyl-5-HT. For example, fluoxetine significantly induced foot
149 inflation in *S. striatinum* and in *C. fluminea*, but at a lower percentage compared with all
150 nonantidepressants, and only at the highest concentration (10 μM), and was ineffective at
151 inducing foot protrusion in *E. complanata*. (Table 1). Fluvoxamine induced a high percentage of
152 foot inflation in *C. fluminea* and foot protrusion in *E. complanata*, but had no effect on *S. striatinum*.
153 Similar varying degrees of effectiveness was shown by sertraline and venlafaxine, as well as
154 complete ineffectiveness by citalopram (Table 1).

155 5-HT and the two serotonergic ligands were not only more effective at inducing both foot
156 inflation and protrusion, but they exerted their action faster than did the antidepressants. In all
157 three species, 5-HT, 8-OH-DPAT and alpha-methyl 5-HT induced the fastest responses, with
158 percentages from 70–100% within the first 2 h (Fig. 2). By contrast, both foot inflation and foot
159 protrusion occurred less often and more slowly when bivalves were exposed to antidepressants as
160 opposed to nonantidepressants (Fig. 3). Foot inflation occurred significantly faster in both *S.*
161 *striatinum* and *C. fluminea* when exposed to nonantidepressants compared with those bivalves
162 exposed to antidepressants over the first hour (Fig. 3A) and second hour (Fig. 3B; t -tests: $P <$
163 0.05).

164 In *S. striatinum* and *C. fluminea*, a 2-h exposure to the 5-HT₂ receptor antagonist mianserin
165 effectively blocked 5-HT-induced foot inflation (Fisher's exact test: $P < 0.05$; Fig. 4A), and to 8-OH-

166 DPAT ($P<0.05$; Fig. 4B). Similarly, cyproheptadine blocked 5-HT-induced inflation (Fisher's exact
167 test: $P<0.05$; Fig. 5A) and 8-OH-DPAT-induced inflation (Fisher's exact test: $P<0.05$; Fig. 5B).

169 DISCUSSION

170 Serotonergic innervation of the bivalve foot has been well documented (Alavi *et al.*, 2017) and,
171 because of its presence in pedal ganglia, it is assumed that it regulates actions by the foot.
172 However, no studies are available demonstrating the direct effects of 5-HT on locomotion or
173 burrowing. In this paper, we described two 5-HT-mediated foot responses in freshwater bivalves,
174 namely foot inflation and foot protrusion. Trueman (1966) described the mechanism of
175 burrowing in bivalves as being due to the contraction of adductor muscles, followed by
176 compression of the outer and inner layers of the ligament, with an increase in fluid pressure from
177 the pericardial cavity into the pedal hemocoel, causing dilation. Our observations indicate that
178 exposure to the tested chemicals causes pedal dilation in the form of ballooning and prolonged
179 pedal extension (FIP) for greater than 30 min. We found that 5-HT, 8-OH-DPAT and alpha-methyl
180 5-HT were the most potent inducers of FIP (Fig. 1). Each of the tested species responded more
181 often and faster when exposed to these chemicals (Fig. 2). The 5-HT receptors that mediate the
182 observed responses were sensitive to 5-HT_{1A} and 5-HT₂ receptor ligands. Similar pharmacological
183 results have been reported for induction of zebra mussel spawning (Fong *et al.*, 1993), for
184 fingernail clam parturition (Fong *et al.*, 1996) and for germinal vesicle breakdown and the release
185 of intracellular calcium in marine bivalves (Fong *et al.*, 1997). FIP was blocked by the 5-HT₂
186 receptor antagonists mianserin and cyproheptadine, suggesting that these responses were
187 mediated by a 5-HT receptor (Figs 4, 5), a finding similar to that in earlier studies (Fong *et al.*,
188 1993). The receptors mediating this behaviour could be located on the surface of the foot or

189 alternatively, the chemicals could be internalized into the hemocoel, and then act directly on the
190 foot. Molecular characterization of 5-HT receptors and their subtypes in invertebrates, especially
191 arthropods and molluscs, has been reviewed by Tierney (2018).

192 Several studies have reported increased foot activity after exposure to SSRI-type
193 antidepressants. Cunha & Machado (2001) observed an increase in foot volume and foot
194 extension when the unionid *Anodonta cygnea* was exposed to the antidepressants fluoxetine and
195 fluvoxamine from 1 μ M to 10 mM. Upon exposure to the antidepressants, the foot relaxes,
196 creating favourable conditions for water uptake and extension, with subsequent release of
197 glochidia larvae. Hazelton *et al.* (2013) found that a 1–2 day exposure to fluoxetine at 0.37 and
198 29.3 μ g/l, induced foot protrusion in the unionid *Lampsilis fasciola*. This group of researchers
199 further described swelling and protrusion of the foot in *L. fasciola* exposed to fluoxetine, with the
200 surprising observation that treated animals travelled significantly further, had increased erratic
201 movement and initiated burrowing sooner compared to control mussels (Hazelton *et al.*, 2014).
202 The mode of action of the tested SSRI-type antidepressants is by blocking the re-uptake of
203 serotonin at vertebrate synapses. But, amongst molluscs and other invertebrates, these
204 antidepressants may work by binding directly to the serotonin receptor as suggested by Ford &
205 Fong (2016) and demonstrated in *Caenorhabditis elegans* by Kullyev *et al.* (2010).

206 Our observations that antidepressants induce FIP in the three tested species, and those of
207 other researchers on unionids (Cunha & Machado, 2001; Hazelton *et al.*, 2013, 2014) suggest a
208 possible ecotoxicological effect. While our effective concentrations of antidepressants are higher
209 than environmental concentrations (Venkatachalam *et al.*, 2023), these drugs have been shown to
210 bioaccumulate in aquatic organisms including the bivalve *Corbicula fluminea* (Burket *et al.* 2020).
211 Furthermore, both *C. fluminea* and *Sphaerium striatinum* have been reported to use their foot to

212 pull organic material from sediments into the mantle cavity, where particles are captured on the
213 gill and moved to food grooves (McMahon & Bogan, 2001). Pedal feeding is also known to occur in
214 some unionids (Vaughn & Hakenkamp, 2001; Brendelberger & Klauke, 2009). Juvenile and adult
215 sphaeriids and juvenile *C. fluminea* are also known to use their foot to crawl across the sediment
216 surface, presumably to relocate into more favourable environments (McMahon & Bogan, 2001). It
217 is unknown how antidepressant exposure affects surface crawling behaviour. Future studies are
218 necessary to elucidate how common and widespread these 5-HT-mediated responses are in other
219 freshwater and marine bivalves, and how environmental antidepressants can be important
220 modulators of these actions and on burrowing speed.

221 Finally, other invertebrate mechanisms similar to FIP, such as the pharyngeal eversion in
222 polychaetes, could be mediated by 5-HT. 5-HT modulates annelid body wall musculature (Walker
223 *et al.*, 1993) and has been localized in the supraesophageal ganglia of *Glycera convoluta*
224 (Manaranche & L'Hermite, 1973), in the prostomial nervous system of *Nereis virens* (Marsden *et*
225 *al.*, 1981) and in the central nervous system of *Nephtys* sp. (Clark, 1966). All of these species have
226 eversible pharynxes, but whether 5-HT mediates this eversion is unknown.

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406 **Figure captions**

407
408 **Figure 1.** Percent of *Sphaerium striatinum*, *Corbicula fluminea*) and *Elliptio complanata* with foot
409 inflation or foot protrusion exposed to various concentrations of 5-HT (A), 8 OH-DPAT (B) or
410 alpha-methyl serotonin (C). Sample sizes per group were $n = 10-15$ for *S. striatinum*, $n = 15$ for *C.*
411 *fluminea* and $n = 8$ for *E. complanata*. *: Fisher's Exact Test; $P < 0.05$ compared to control.

412
413 **Figure 2.** Cumulative mean percent foot inflation in *Sphaerium striatum* (A), *Corbicula fluminea*
414 (B) and foot protrusion in *Elliptio complanata* (C) over a 4-h period.

415
416 **Figure 3.** Mean (+/- SE) percent foot inflation in *Sphaerium striatum* and *Corbicula fluminea* or
417 foot protrusion in *Elliptio complanata* during the first experimental hour (A) and the second
418 experimental hour (B) between nonantidepressants (5-HT, 8-OH-DPAT and alpha-methyl 5-HT)
419 and antidepressants (fluoxetine, fluvoxamine, sertraline and venlafaxine). Drugs included were
420 those that showed a significant difference compared to control water. *: t-test; $P < 0.05$ comparing
421 nonantidepressants to antidepressants for each species.

422
423 **Figure 4.** Effect of a 2-h pretreatment with the 5-HT₂ antagonist mianserin (100 μM)
424 when combined with 5-HT (100 μM) (A) or 8-OH-DPAT (10 and 100 μM) (B) on the percent of
425 foot inflation in *Sphaerium striatum* and *Corbicula fluminea*. Sample sizes are $n = 15$ per group
426 for *S. striatum* and $n = 18$ per group for *C. fluminea*. *: Fisher's exact test; $P < 0.05$ compared
427 with serotonin or 8-OH-DPAT alone.

428
429 **Figure 5.** Effect of a 2-h pretreatment with the 5-HT₂ antagonist cyproheptadine
430 (100 μM) when combined with 5-HT (100 μM) (A) or 8-OH-DPAT (10 and 100 μM) (B) on the
431 percent of foot inflation in *Sphaerium striatum* and *Corbicula fluminea*. Sample sizes are $n = 11-$
432 15 per group for *S. striatum* and $n = 18$ per group for *C. fluminea*. *: Fisher's exact test; $P < 0.05$
433 compared with serotonin or 8-OH-DPAT alone.

452 **TABLES**

453
454 **Table 1.** Foot inflation in *Sphaerium striatinum* and *Corbicula fluminea*, and foot protrusion in
455 *Elliptio complanata*, showing (number/total tested) induced by various antidepressants.
456
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Antidepressant [μ M]	<i>S. striatinum</i>	<i>C. fluminea</i>	<i>E. complanata</i>	
Fluoxetine				458
10	7/15*	7/15 *	2/8	459
1	3/15	0/15	0/8	460
0.1	0/15	0/15	0/8	461
0.01	0/15	0/15	ND	462
control	0/15	0/15	0/8	463
				464
Fluvoxamine				465
10	0/15	13/15*	8/8*	466
1	0/15	4/15*	3/8	467
0.1	0/15	0/15	1/8	468
control (0.01%ETOH)	2/15	0/15	0/8	469
				470
Sertraline				471
10	3/15	0/15	0/8	472
1	4/15*	0/15	0/8	473
0.1	4/15*	0/15	0/8	474
control	0/15	0/15	0/8	475
				476
Venlafaxine				477
10	4/15*	2/15	0/8	478
1	6/15*	0/15	0/8	479
0.1	0/15	0/15	0/8	480
control	0/15	0/15	0/8	481
				482
Citalopram				483
10	2/15	0/15	0/8	484
1	0/15	0/15	0/8	485
0.1	0/15	0/15	0/8	486
control	0/15	0/15	0/8	487
				488
				489
				490
				491

492 ND indicates no data; an asterisk indicates *P*-values < 0.05 for the Fisher's exact test.
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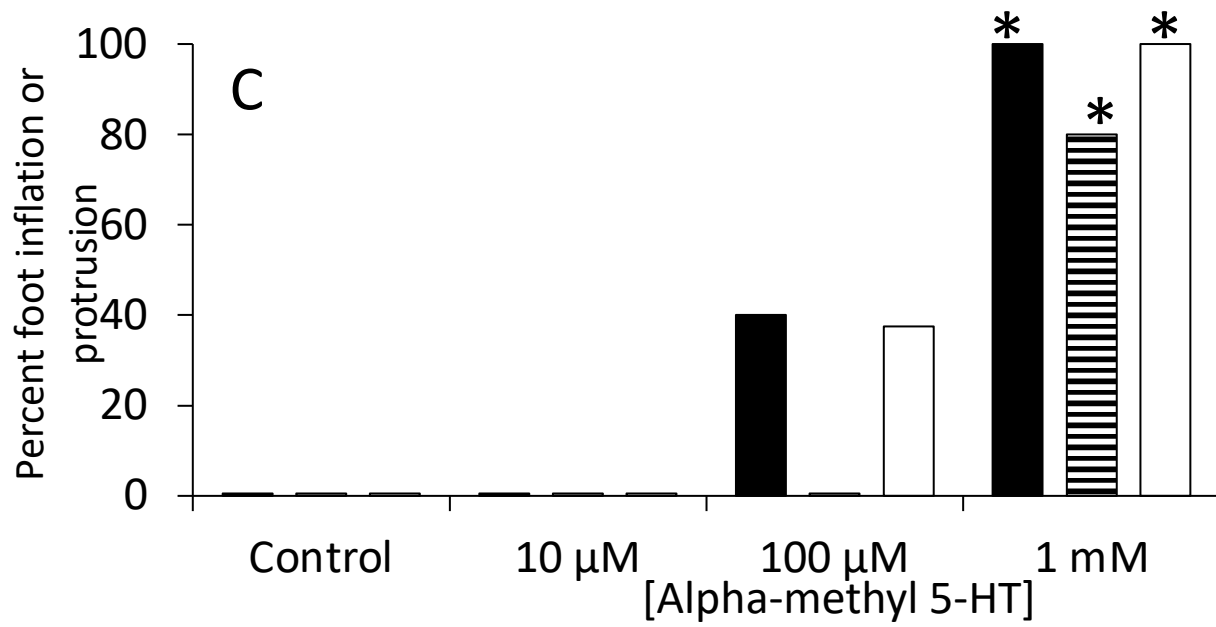
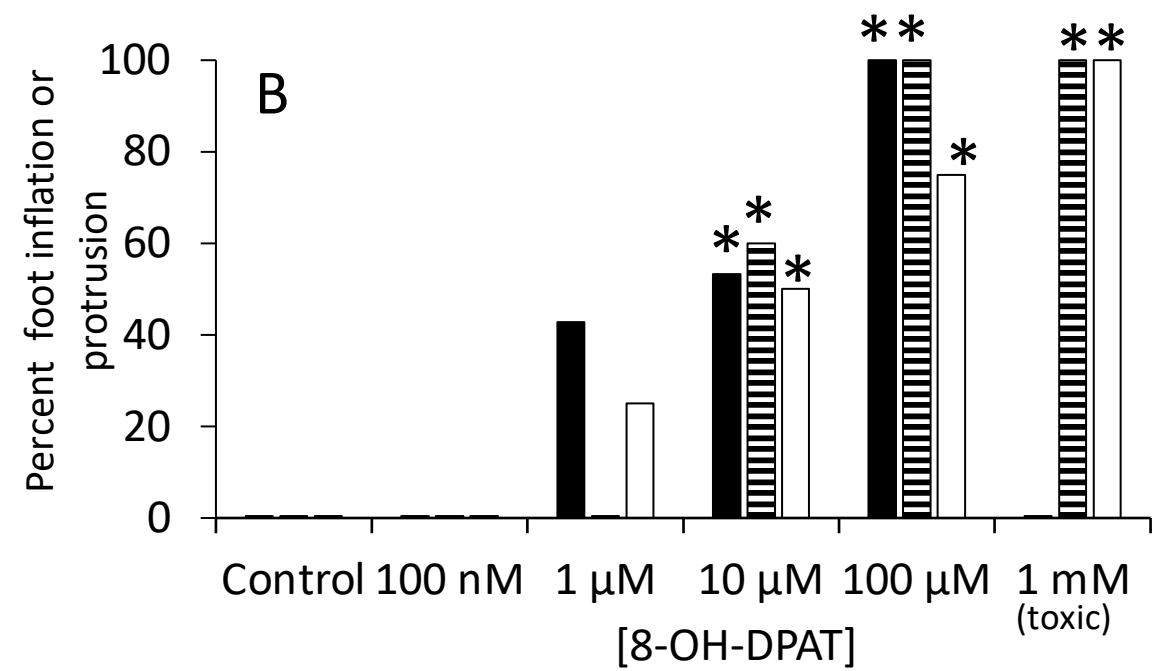
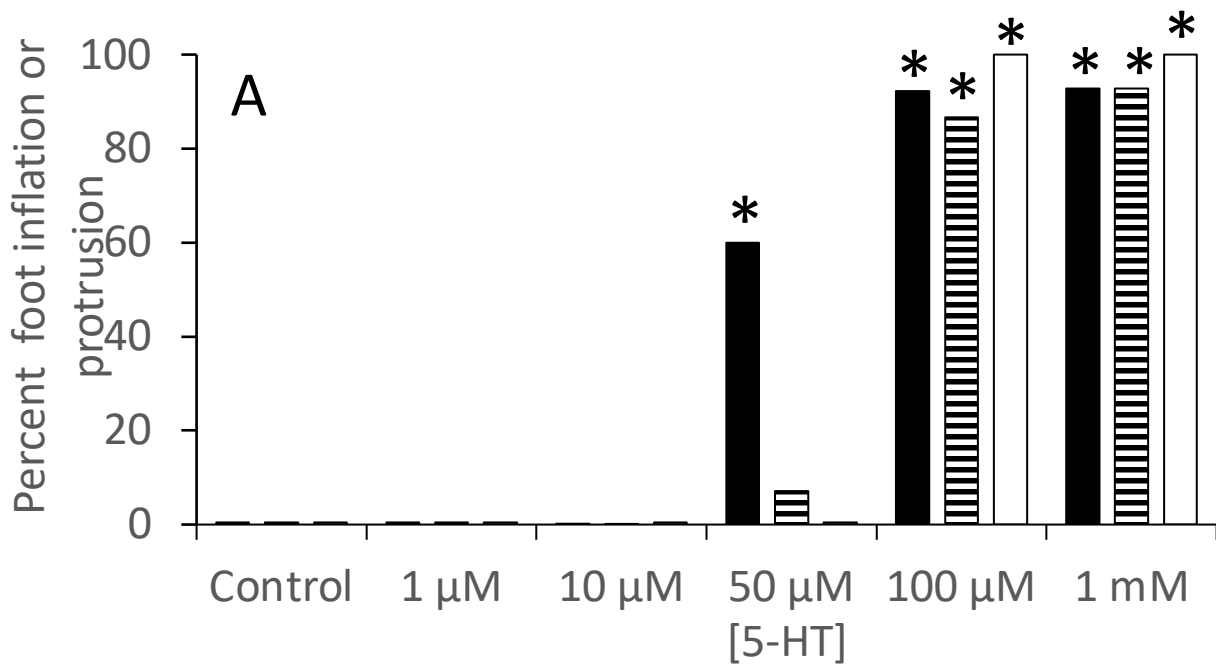


Fig. 1

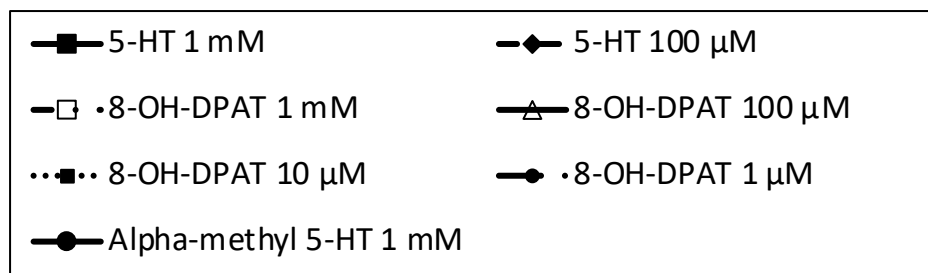
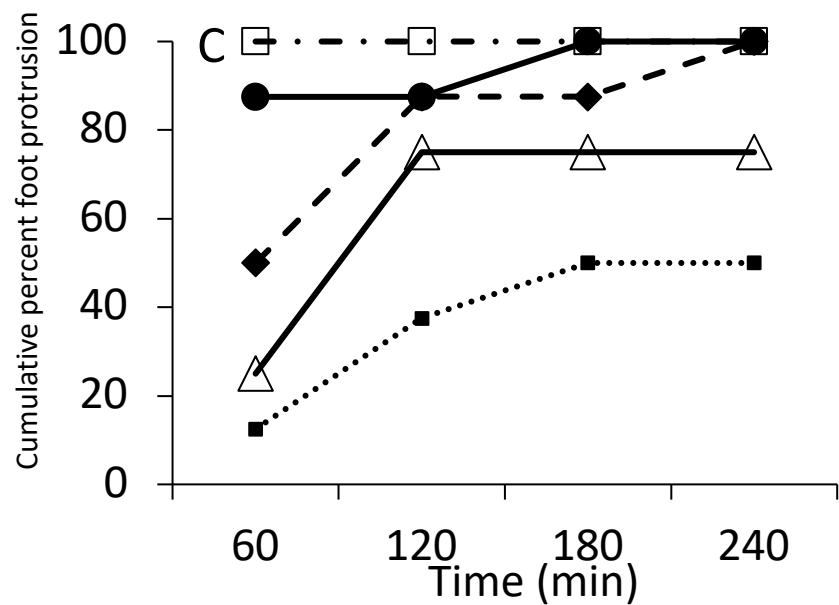
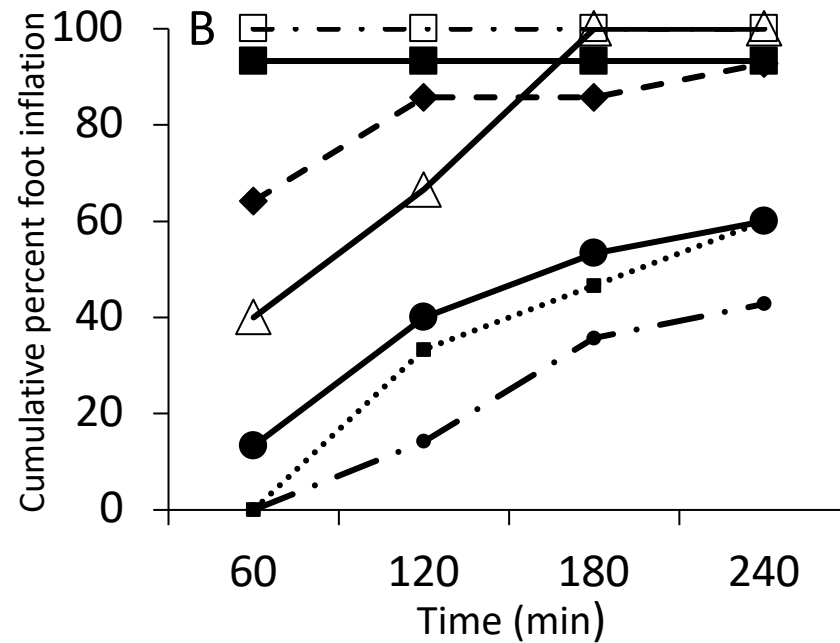
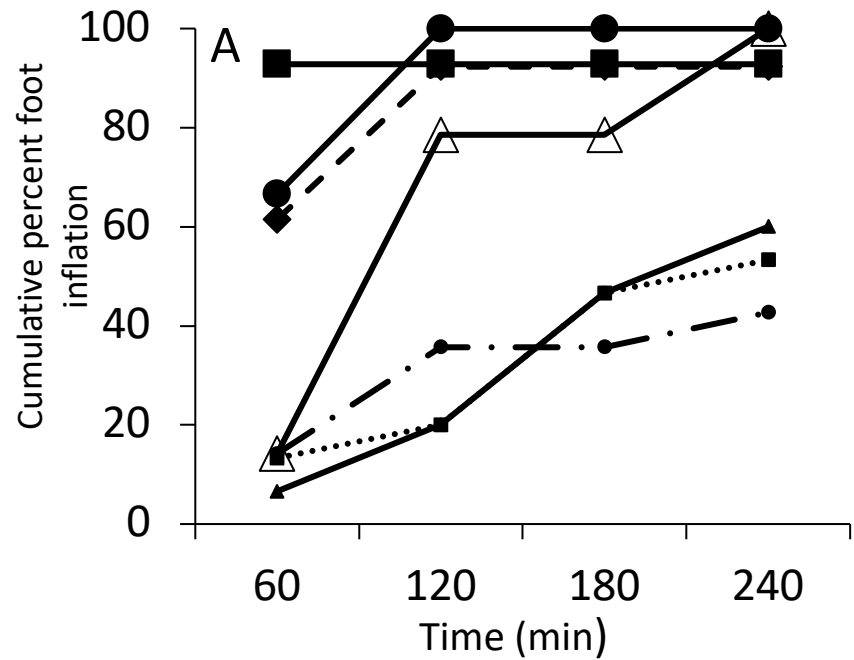


Fig. 2

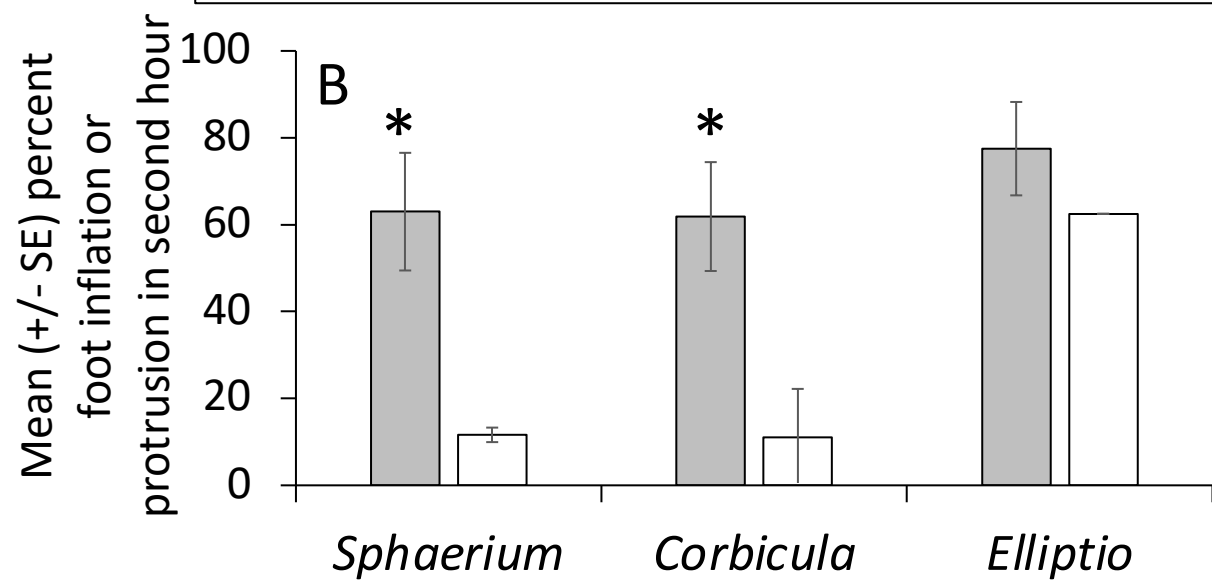
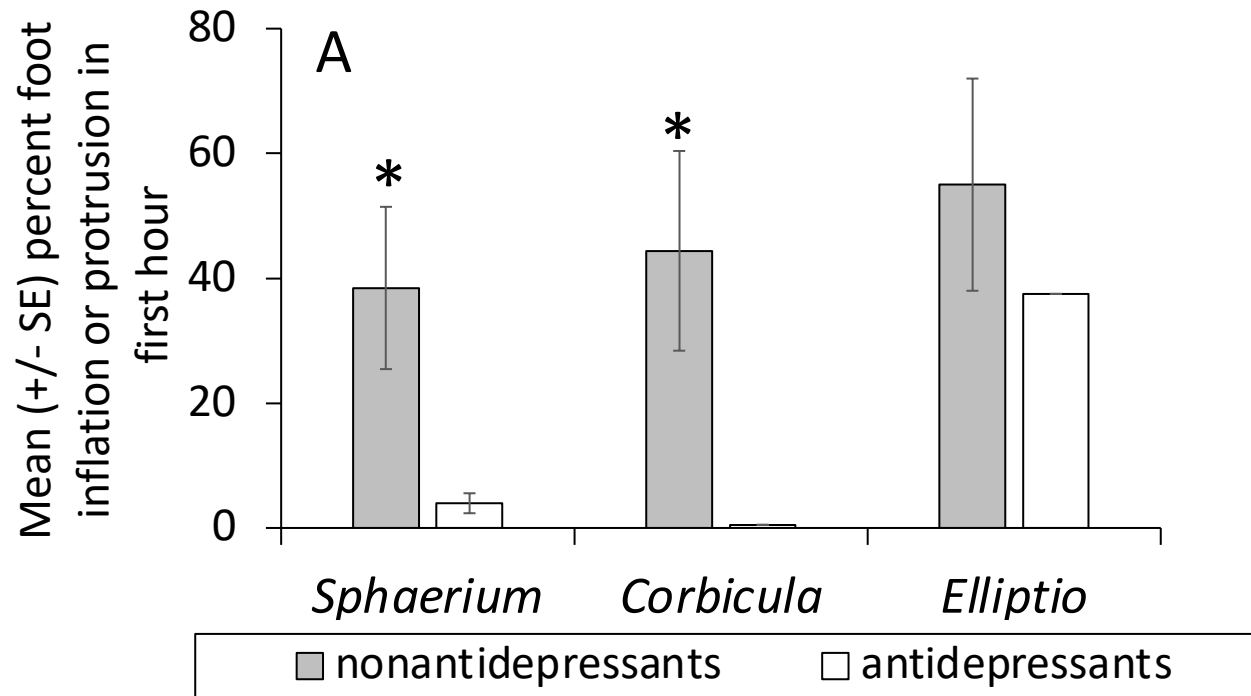


Fig. 3

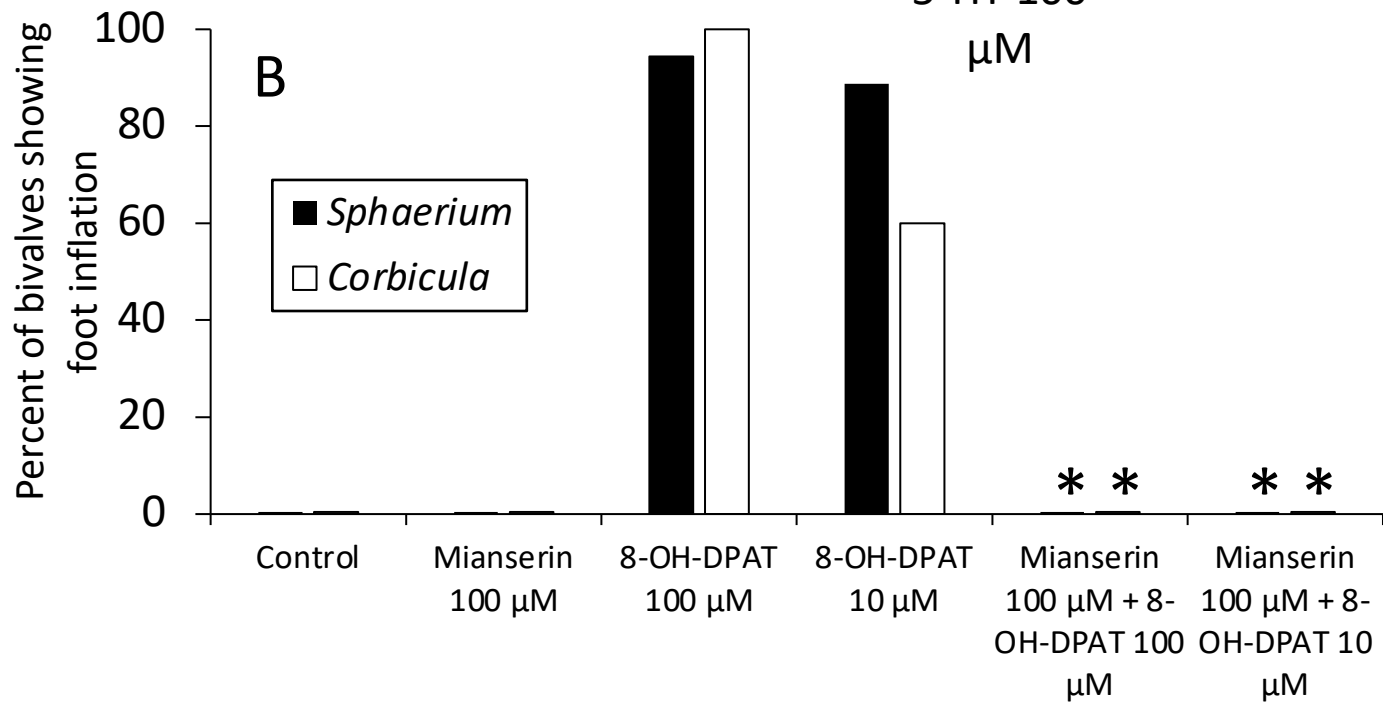
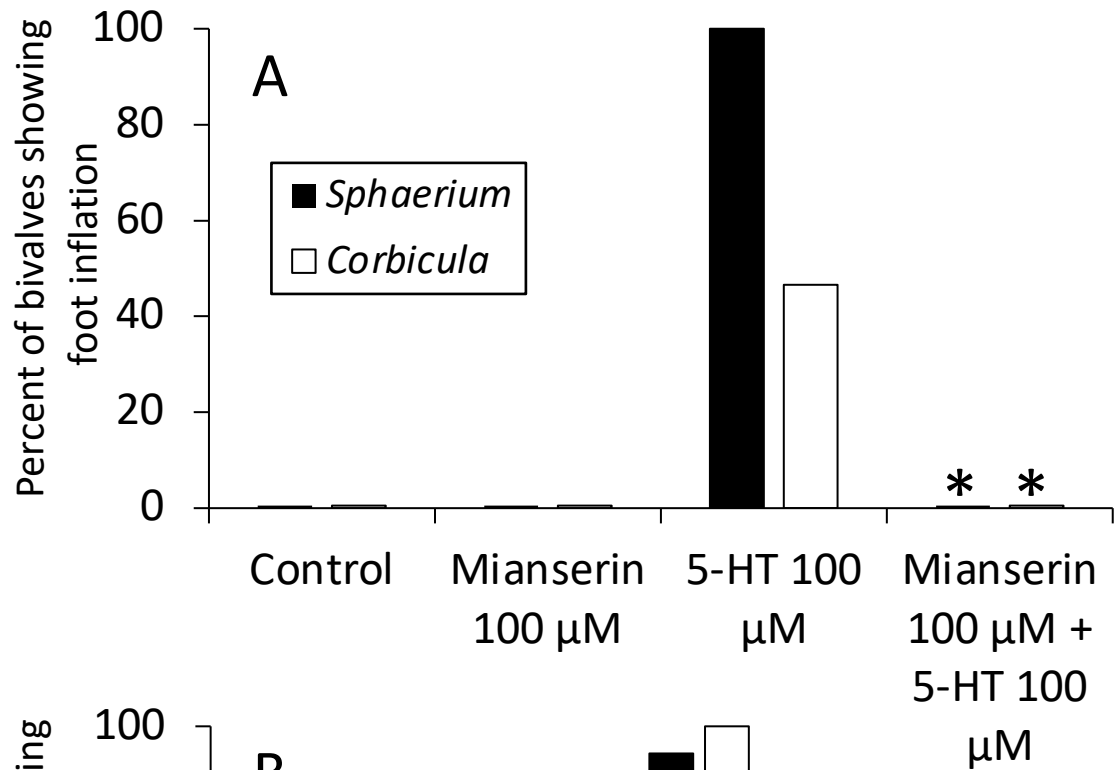


Fig. 4

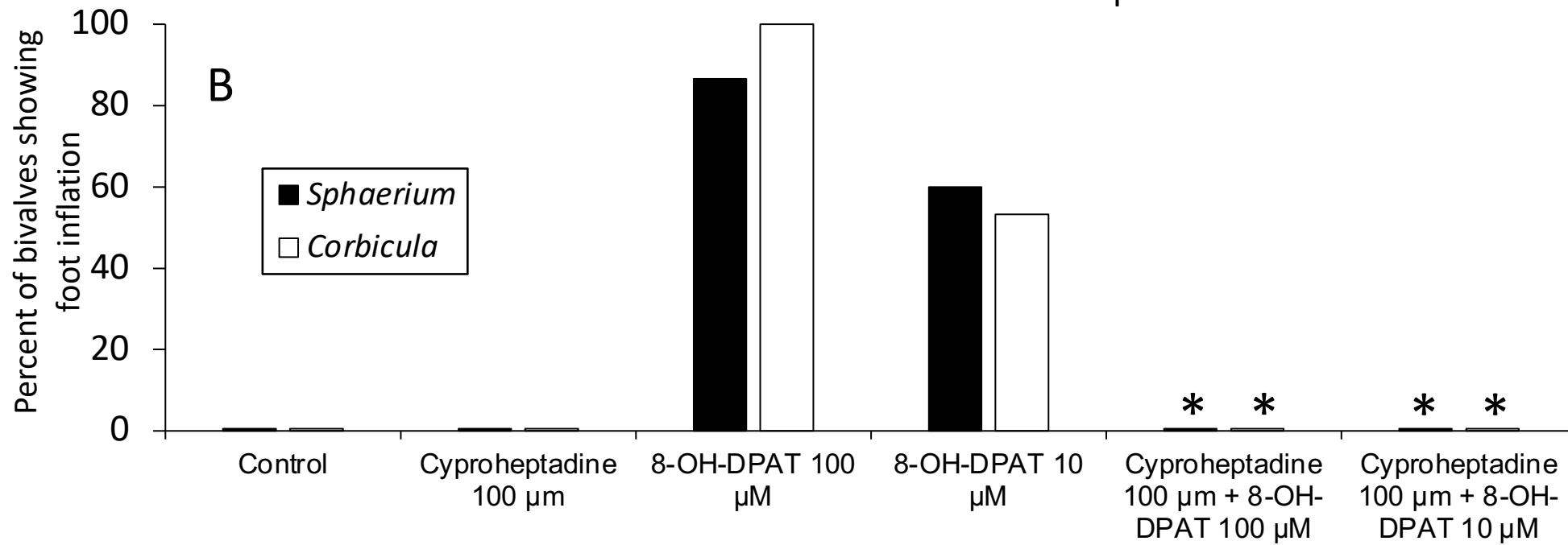
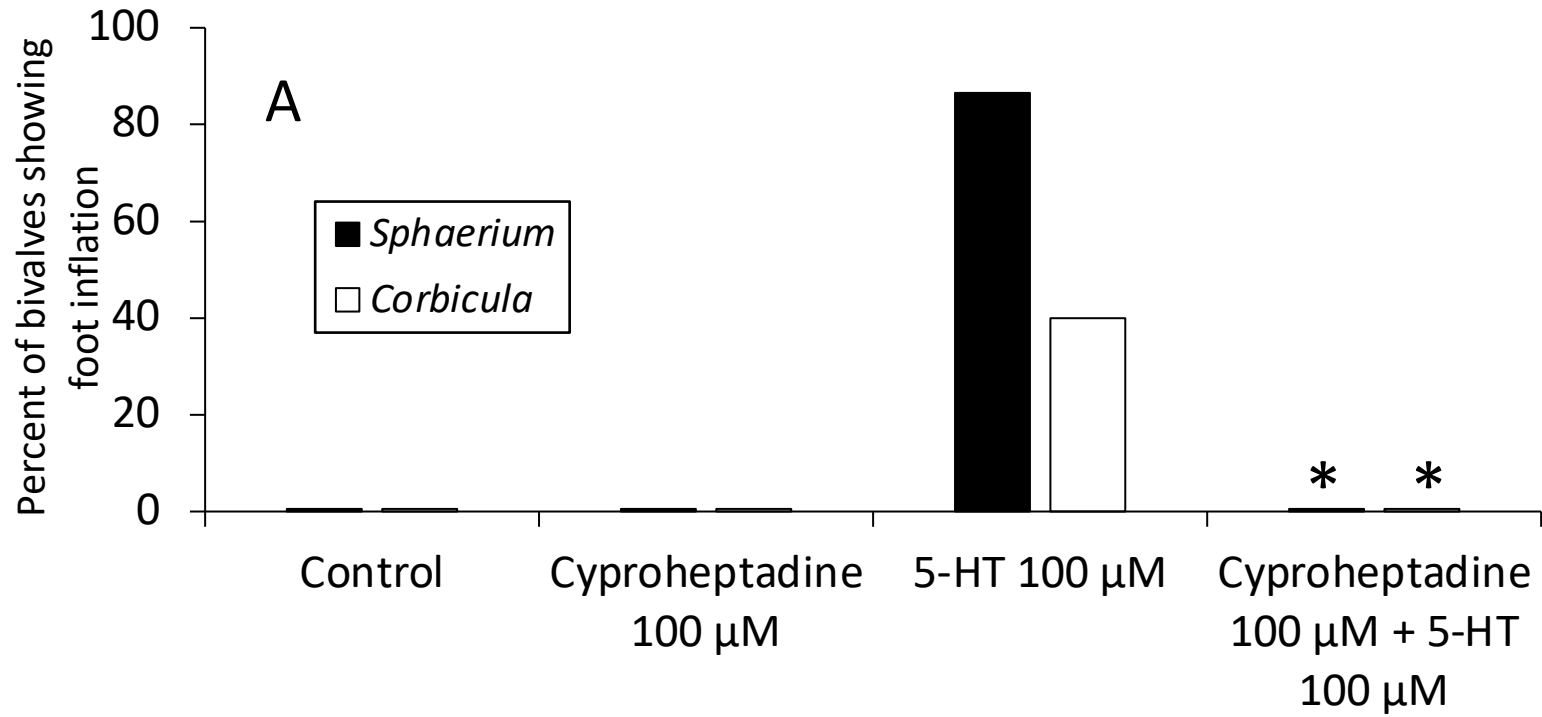


Fig. 5